

Exhibit D

 IRS Department of the Treasury
Internal Revenue Service
ANSC
Andover MA 01810

In reply refer to: 0841989279
Sep. 14, 2017 LTR 96C 1
[REDACTED] 201212 30
00000023
BODC: SB

STEPHEN K POND
16 GRAYLYN PLACE CT
WINSTON SALEM NC 27106

Tax periods: Dec. 31, 2012

Form: 843
1040

Dear Taxpayer:

Thank you for your correspondence which we received on July 24, 2017.

Your correspondence indicates you filed an amended return for the 2012 tax period but our records do not indicate that we received one from you. We have enclosed a transcript of your account for further reference. This transcript shows your account activity as of September 11, 2017.

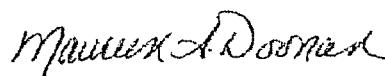
If you have questions, you can call us toll free at 1-800-829-0922.

If you prefer, you can write to us at the address at the top of the first page of this letter.

When you write, include a copy of this letter and provide in the spaces below, your telephone number and the hours we can reach you. Keep a copy of this letter for your records.

Telephone Number () _____ Hours _____

Sincerely yours,



Maureen Doonan, Department Manager
AM Operation 1, Department 1

Enclosures:
2012 Account Transcript

Account Transcript [REDACTED] 1040 Dec. 31, 2012 POND

Page 1 of 2



This Product Contains Sensitive Taxpayer Data

Account Transcript

Request Date: 09-11-2017
 Response Date: 09-11-2017
 Tracking Number: 100349489140

FORM NUMBER: 1040

TAX PERIOD: Dec. 31, 2012

TAXPAYER IDENTIFICATION NUMBER: [REDACTED]

STEPHEN K POND

--- ANY MINUS SIGN SHOWN BELOW SIGNIFIES A CREDIT AMOUNT ---

ACCOUNT BALANCE:	0.00	
ACCRUED INTEREST:	0.00	AS OF: May 29, 2017
ACCRUED PENALTY:	0.00	AS OF: May 29, 2017

ACCOUNT BALANCE PLUS ACCRUALS (this is not a payoff amount):	0.00
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** INFORMATION FROM THE RETURN OR AS ADJUSTED **

EXEMPTIONS:	04
FILING STATUS:	Head of Household
ADJUSTED GROSS INCOME:	5,722,071.00
TAXABLE INCOME:	3,857,100.00
TAX PER RETURN:	690,921.00
SE TAXABLE INCOME TAXPAYER:	0.00
SE TAXABLE INCOME SPOUSE:	0.00
TOTAL SELF EMPLOYMENT TAX:	0.00

RETURN DUE DATE OR RETURN RECEIVED DATE (WHICHEVER IS LATER)	Oct. 18, 2013
PROCESSING DATE	Dec. 30, 2013

TRANSACTIONS

CODE EXPLANATION OF TRANSACTION	CYCLE DATE	AMOUNT
150 Tax return filed	20135005 12-30-2013	\$690,921.00

<https://eup.eps.irs.gov/esrv/tds/requests/TdsProductAction.do?method=productDetails>

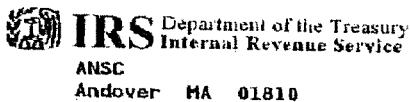
9/11/2017

Account Transcript [REDACTED] 1040 Dec. 31, 2012 POND

Page 2 of 2

n/a	C9221-306-68908-3		
836	W-2 or 1099 withholding	04-15-2013	-\$3.00
716	Credit you chose to apply from prior tax period	04-15-2012	-\$118,746.00
430	Estimated tax payment	01-17-2013	-\$650,000.00
460	Extension of time to file tax return ext. Date 10-15-2013	04-08-2013	\$0.00
766	Credit to your account	04-15-2013	-\$30,298.00
766	Credit to your account	04-15-2013	-\$15.00
836	Credit you chose to apply to following tax period's taxes	04-15-2013	\$108,141.00
420	Examination of tax return	09-19-2014	\$0.00
421	Closed examination of tax return	12-09-2015	\$0.00
421	Closed examination of tax return	02-01-2017	\$0.00
424	Examination Request	02-01-2017	\$0.00
300	Additional tax assessed by examination 00-00-0000	20171805 05-22-2017	\$0.00
n/a	19247-518-180C0-7		
300	Additional tax assessed by examination - quick assessment	20171905 04-24-2017	\$29,228.00
n/a	17251-114-13003-7		
190	Interest charged for late payment	20171905 04-24-2017	\$2,967.31
670	Payment	05-09-2017	-\$32,195.31

This Product Contains Sensitive Taxpayer Data



STEPHEN K POND
16 GRAYLYN PLACE CT
WINSTON SALEM NC 27106

CUT OUT AND RETURN THE VOUCHER IMMEDIATELY BELOW IF YOU ONLY HAVE AN INQUIRY.
DO NOT USE IF YOU ARE MAKING A PAYMENT.

CUT OUT AND RETURN THE VOUCHER AT THE BOTTOM OF THIS PAGE IF YOU ARE MAKING A PAYMENT,
EVEN IF YOU ALSO HAVE AN INQUIRY.

The IRS address must appear in the window.

Use for inquiries only

0841989279

BODCD-SB

Letter Number: LTR0096C
Letter Date : 2017-09-14
Tax Period : 201212



INTERNAL REVENUE SERVICE
ANSC
Andover MA 01810

STEPHEN K POND
16 GRAYLYN PLACE CT
WINSTON SALEM NC 27106

KN POND 30 0 201212 670 000000000000

The IRS address must appear in the window.

Use for payments

0841989279

BODCD-SB

Letter Number: LTR0096C
Letter Date : 2017-09-14
Tax Period : 201212



INTERNAL REVENUE SERVICE
FRESNO CA 93888-0419

STEPHEN K POND
16 GRAYLYN PLACE CT
WINSTON SALEM NC 27106

KN POND 30 0 201212 670 000000000000

Department of the Treasury
Internal Revenue Service
Director
201 W. RIVERCENTER BLVD
COVINGTON, KY 41011

Document Locator Number

17251-114-13003-17

MFT	Tax Period	Assessment Date	Trans Code
30	201212	04/24/2017	370

Taxpayer

STEPHEN K POND

[REDACTED]

[REDACTED]

Notice Date: 04/24/2017
Name Control: POND
Taxpayer Identifying Number

Form Number: 1040

Plan/Report Number:

Tax Period Ended: 12/31/2012

Notice of Tax Due on Federal Tax Return

This is a notice of tax due on your tax return identified above. Please pay the amount shown as Balance Due when you receive this notice. Make your check payable to the United States Treasury and send it with a copy of this notice to the address shown above. If the balance due as shown below is incorrect because you made a recent payment, please send us the amount you believe you owe and an explanation of the difference.

The balance due may include penalty and interest. If you have any questions concerning the balance due or penalty and interest computation call us at 800-829-0115 (Business filers) or 800-829-8374 (Individual filers).

COPY

31. Reference	32. TC	33. Assessment	34. Adjustment or Credit	35. Balance Due
04/24/2017 ADD'L TAX	300	29,228.00		
04/24/2017 INTEREST	190	2,967.31		

36. Reference Code see enclosed notice

32,195.31

see enclosed notice

Please return this copy with your payment to the address shown above

Form 3552 (Rev. 2-2017) (Part 3)
Catalog Number 49356T

(Rev. December 2012)

► Information about Form 1040X and its separate instructions is at www.irs.gov/form1040x.This return is for calendar year 2012 2011 2010 2009

Other year, Enter one: calendar year or fiscal year (month and year ended):

Your first name and initial

STEPHEN K. POND

If a joint return, spouse's first name and initial

Last name

Your social security number

~~COPY IT~~

Last name

Spouse's social security number

Home address (number and street). If you have a P.O. box, see instructions.

16 GRAYLYN PLACE

Apt. no.

Your phone number

City, town or post office, state, and ZIP code. If you have a foreign address, also complete spaces below (see instructions).

WINSTON-SALEM, NC 2710

Foreign country name Foreign province/state/county Foreign post code

~~ORIGINAL FILED AT IRS BOX 630-0630 HOLTSVILLE NY 11742-11942~~

Amended return filing status. You must check one box even if you are not changing your filing status.

Caution. In general, you cannot change your filing status from joint to separate return after the due date.

<input type="checkbox"/> Single	<input type="checkbox"/> Married filing jointly	<input type="checkbox"/> Married filing separately
<input type="checkbox"/> Qualifying widow(er)	<input checked="" type="checkbox"/> Head of household (If the qualifying person is dead but not your dependent, see instructions.)	

Use Part III on page 2 to explain any changes

Income and Deductions

	A. Original amount or as previously adjusted (see instructions)	B. Net change - amount of increase or (decrease) - explain in Part III	C. Correct amount
1 Adjusted gross income. If net operation loss (NOL) carryback is included, check here <input type="checkbox"/>	1 5,527,217.		5,527,217.
2 Itemized deductions or standard deduction	1,853,668.		1,853,668.
3 Subtract line 2 from line 1	3 3,673,549.		3,673,549.
4 Exemptions. If changing, complete Part I on page 2 and enter the amount from line 30	15,200.		15,200.
5 Taxable income. Subtract line 4 from line 3	3,658,349.		3,658,349.

Tax Liability

6 Tax. Enter method used to figure tax SCH D	6 747,962.	-62.	747,900.
7 Credits. If general business credit carryback is included, check here <input type="checkbox"/>	7 79,881.	-639.	79,242.
8 Subtract line 7 from line 6. If the result is zero or less, enter -0-	8 668,081.	577.	668,658.
9 Other taxes	9 22,838.		22,838.
10 Total tax. Add lines 8 and 9	10 690,919.	577.	691,496.

Payments

11 Federal income tax withheld and excess social security and tier 1 RRTA tax withheld (if changing, see instructions)	11 3.	3.
12 Estimated tax payments, including amount applied from prior year's return	12 768,746.	768,746.
13 Earned income credit (EIC)	13	
14 Refundable credits from Schedule(s) <input type="checkbox"/> 8812 or <input type="checkbox"/> M or Form(s) <input type="checkbox"/> 2439 <input checked="" type="checkbox"/> 4138 <input type="checkbox"/> 5405 <input checked="" type="checkbox"/> 8801 <input type="checkbox"/> 8812 (2008-2011) <input type="checkbox"/> 8839 <input type="checkbox"/> 8863 <input type="checkbox"/> 8865 or <input type="checkbox"/> other (specify):	14 30,313.	30,313.
15 Total amount paid with request for extension of time to file, tax paid with original return, and additional tax paid after return was filed	15	29,228.

16 Total payments. Add lines 11 through 15 16 828,290.

Refund or Amount You Owe (Note. Allow 8-12 weeks to process Form 1040X.)

17 Overpayment, if any, as shown on original return or as previously adjusted by the IRS	17	108,143.
18 Subtract line 17 from line 16 (if less than zero, see instructions)	18	720,147.
19 Amount you owe. If line 10, column C, is more than line 18, enter the difference	19	
20 If line 10, column C, is less than line 18, enter the difference. This is the amount overpaid on this return	20	28,651.
21 Amount of line 20 you want refunded to you	21	28,651.

22 Amount of line 20 you want applied to your (enter year): estimated tax 22

Complete and sign this form on Page 2.

LHA For Paperwork Reduction Act Notice, see instructions.
210701
12-17-12

SEC 1291 INT

2 Form 1040X (Rev. 12-2012)

4490622 139882 1927.15

2012.06010 POND, STEPHEN K.

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